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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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EXAMINER

TRAN, ELLEN C

ART UNIT PAPER NUMBER

2134

DATE MAILED: 09/28/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/755,470

Applicant(s)

BRANIGAN ET AL.

Examiner

Ellen C. Tran

Art Unit

2134

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 17 July 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-15 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-15 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. This action is responsive to communication filed: 17 July 2006 with acknowledgement of an original application filed on 05 January 2001 and acknowledgement of priority established by affidavit to 22 February 2000 and further declared in arguments to 05 January 2000.
2. Claims 1-15 are currently pending in this application. Claims 1, 7, and 10 are independent claims.

Response to Arguments

3. Applicant's arguments with respect to claims 1-15 have been considered but are moot in view of the new ground(s) of rejection, which is necessitated by the affidavits submitted 6 February 2006, as well as arguments presented in the reply, which indicated that the previous action contained prior art that did not have a satisfactory priority date. It is noted applicants comments beginning on page 8, "In the interest of expediting the allowance of the present case to issuance, it is noted that the face of Ekberb makes note of PCT Pub. No. WO 00/02406 having a publication date of January 13 2000. This date is less than a year before the January 5, 2001 filing date of the present application. Assuming *arguendo* that the Examiner intends to rely upon WO 00/02406 under 35 U.S.C. 102(a), a further declaration of the undersigned is submitted. This declaration when considered in conjunction with the previously submitted declaration to antedate previously relied upon items that were not in fact prior art shown the present invention was reduced to practice prior to January 5, 2001 ... established that the present invention was reduced to practice before January 13, 2000. Consequently WO 00/02406 is not "prior" art".

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. **Claims 1-5 and 7-13**, are rejected under 35 U.S.C. 103(a) as being unpatentable over Massarani US Patent No. 6,393,484 (hereinafter '484) in view of Bhagwat et al. US Patent No. 6,651,105 (hereinafter '105).

As to independent claim 1, "A wired network for providing secure, authenticated access to wireless network clients, comprising: a server connected to a wireless network access point, and having access to the wired network, the server being operative to perform authentication for a wireless client" is taught in '484 col. 4, lines 31-66;

"establishing a connection to the server through the wireless network access point, the server performing authentication by examining authentication information transmitted from the client to the server" is disclosed in '484 col. 5, lines 7-25;

"and determining whether or not the authentication information identifies the wireless network client as authorized to gain access to the wired network, the server being operative to establish a connection session upon authentication of a client, the server being also operative to provide the client with a wired network address valid for the connection session upon authentication of the client," is taught in '484 col. 4, lines 31-41 and also see col. 6, lines 23-53;

“and a user database accessible to the server for use in validating wireless clients” is shown in ‘484 col. 5, lines 8-19;

the following is not explicitly taught in ‘484:

“the server being further operative to encrypt communications with the wireless network access point” however ‘105 teaches ‘Point-to-point protocol (PPP) is used for communication for wireless devices via access points. This communication can be encrypted if required’ in col. 4, lines 36-54 and also col. 2, lines 20-24;

“the server being further operative to provide a cryptographic key valid for the connection session to the client upon authentication of the client” however ‘105 teaches the server provides a random secret key to the mobile device, this key is used in the wireless communication session in col. 8, lines 19-46;

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify a system and method for providing network access to wireless clients via a server taught in ‘484 to include a means to provide secure wireless communication. One of ordinary skill in the art would have been motivated to perform such a modification to provide seamless access and security to the mobile user, see ‘105 (col. 1 lines 61 et seq. and col. 2, line 38 through col. 3, line 26). “It would be advantageous to have a way which provides a seamless, secure mobility protocol for this kind of scenario ... A mobile host has a permanent home IP address which does not change upon movement to a new subnet. When a mobile host moves to a new subnet other than its home subnet, it registers its current location--the IP address of a foreign agent in the new subnet or a temporary IP address obtained by mechanisms such as DHCP- with an agent in its home subnet, called home agent ... The authentication is based on a shared secret

key that can be manually configured in a mobile device and its home agent ... Since the range of the wireless link is short, these operations may need to be repeated quite frequently (with each hand-off to a new access point) ... Such a solution has the following drawbacks: A new PPP connection needs to be established with every hand-off. PPP connection establishment includes link configuration, authentication, network layer configuration, and optional encryption and compression parameter negotiations. Each parameter negotiation phase increases hand-off latency which may be unacceptable in micro-cellular, indoor wireless environments. Additionally, these negotiations waste bandwidth by introducing extra traffic on the wireless link. Layering Mobile IP on top of PPP adds to handoff latency because Mobile IP layer performs its own set of registration and authentication exchanges. This solution is difficult to deploy since Mobile IP protocol is not supported on most mobile devices”.

As to dependent claim 2, “also including a network hub providing connections between the server” is taught in ‘484 FIG. 1 the edge router switch inherently is the network hub;

“and additional resources on the wired network” however ‘105 teaches in col. 3, lines 41-48, note additional resources is an obvious variation of peers. The motivation to combine ‘105 and ‘484 is the as stated above in claim 1.

As to dependent 3, “also including a router providing connections between the server” is taught in ‘484 col. 4, lines 54-67;

“and additional resources on the wired network” however ‘105 teaches in col. 3, lines 41-48, note additional resources is an obvious variation of peers. The motivation to combine ‘105 and ‘484 is the as stated above in claim 1.

“as well as a connection to an additional wired network” is shown in ‘484 col. 5, lines 55-65.

As to dependent 4, “wherein the server is operative to provide addresses to clients through dynamic host control protocol” is disclosed in ‘484 col. 3, lines 47-50.

As to dependent 5, “wherein the server is operative to communicate with a wireless network client using point to point tunneling protocol” however ‘105 teaches PPP is used for communications between the server and the wireless client in col. 4, lines 36-54. The motivation to combine ‘105 and ‘484 is the as stated above in claim 1.

As to independent 7, “A wireless network for providing secure authenticated communication between clients of the wireless network and a wired network, comprising: a wireless network access point operative to establish a connection” is taught in ‘484 col. 4, lines 31-66;

“with a server operating as a portal between the wireless network and a wired network the wireless network access point being operative to conduct communications with the server in order to authenticate wireless network clients as authorized to access the wired network” is disclosed in ‘484 col. 5, lines 60-65;

“the wireless network access point being further operative to receive authentication information from one or more wireless network clients and transfer the authentication information to the server in order to allow the server to examine the authentication information for a wireless network client and determine if the information indicates that the wireless network client is authorized to access the wired network” is taught in ‘484 col. 6, lines 23-53;

“and a plurality of wireless network clients operative to establish connections with the wireless network access point” is shown in ‘484 col. 4, lines 31-44;

“to pass authentication information to the network access point in order to indicate to a server communicating with the wireless network and a wired network whether or not the wireless client is authorized to gain access to the wired network, each wireless network client being further operative to and receive address information” is disclosed in ‘484 col. 6, lines 23-53;

the following is not explicitly taught in ‘484:

“the wireless network access point being operative to receive a cryptoprocessing key from the server upon authentication of a client and to transfer the key to that client” however ‘105 teaches the server provides a random secret key to the mobile device, this key is used in the wireless communication session in col. 8, lines 19-46;

“each client being operative to conduct encrypted communications with the server through the access point” however ‘105 teaches ‘Point-to-point protocol (PPP) is used for communication for wireless devices via access points. This communication can be encrypted if required’ in col. 4, lines 36-54 and also col. 2, lines 20-24;

“and crypto-processing data from the network access point upon authentication by the server in order to allow communication with the wired network each client being operative to conduct encrypted transfer of data to and from the wired network through the access point” however ‘105 teaches ‘Point-to-point protocol (PPP) is used for communication for wireless devices via access points. This communication can be encrypted if required’ in col. 4, lines 36-54 and also col. 2, lines 20-24;

“upon receiving the address and cryptoprocessing information” however ‘105 teaches the server provides a random secret key to the mobile device, this key is used in the wireless communication session in col. 8, lines 19-46.

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify a system and method for providing network access to wireless clients via a server taught in ‘484 to include a means to provide secure wireless communication. One of ordinary skill in the art would have been motivated to perform such a modification to provide seamless access and security to the mobile user, see ‘105 (col. 1 lines 61 et seq. and col. 2, line 38 through col. 3, line 26). “It would be advantageous to have-a way which provides a seamless, secure mobility protocol for this kind of scenario ... A mobile host has a permanent home IP address which does not change upon movement to a new subnet. When a mobile host moves to a new subnet other than its home subnet, it registers its current location--the IP address of a foreign agent in the new subnet or a temporary IP address obtained by mechanisms such as DHCP- with an agent in its home subnet, called home agent ... The authentication is based on a shared secret key that can be manually configured in a mobile device and its home agent ... Since the range of the wireless link is short, these operations may need to be repeated quite frequently (with each hand-off to a new access point) ... Such a solution has the following drawbacks: A new PPP connection needs to be established with every hand-off. PPP connection establishment includes link configuration, authentication, network layer configuration, and optional encryption and compression parameter negotiations. Each parameter negotiation phase increases hand-off latency which may be unacceptable in micro-cellular, indoor wireless environments. Additionally, these negotiations waste bandwidth by introducing extra traffic on the wireless

Art Unit: 2134

link. Layering Mobile IP on top of PPP adds to handoff latency because Mobile IP layer performs its own set of registration and authentication exchanges. This solution is difficult to deploy since Mobile IP protocol is not supported on most mobile devices”.

As to dependent 8, “wherein the access point communicates with the server using point to point tunneling protocol” however ‘105 teaches PPP is used for communications between the server and the wireless client in col. 4, lines 36-54. The motivation to combine ‘105 and ‘484 is the as stated above in claim 7.

As to dependent 9, “including a hub connecting the wireless network access point and a plurality of additional network access points, each additional network access point communicating with a plurality of additional wireless network clients, the wireless network access point and- the additional network access points being operative to establish connections with the server through the network hub” is shown in ‘484 col. 4, lines 54-65.

As to independent 10, “A method of secure communication between wireless network clients and a wired network, comprising the steps of: establishing a connection between a wireless network access point and a security base (SB) server connected to the wired network; establishing a connection between the SB server and a wireless network client communicating with the SB server through the wireless network access point” is taught in ‘484 col. 4, lines 31-66;

“transmitting authentication information from the wireless network client to the SB server through the wireless network access point; performing authentication for the wireless network client by examining the authentication information to determine if the wireless network client is authorized to gain access to the wired network if authentication

fails, rejecting connection to the wired network and if authentication passes, accepting connection to the wired network, providing a temporary wired network address” is taught in ‘484 col. 6, lines 23-53;
the following is not explicitly taught in ‘484

“exchanging encryption keys between the SB server and the wireless network client” however ‘105 teaches the server provides a random secret key to the mobile device, this key is used in the wireless communication session in col. 8, lines 19-46;

“and a unique session encryption key to the wireless network client” however ‘105 teaches the server provides a random secret key to the mobile device, this key is used in the wireless communication session in col. 8, lines 19-46;

“and providing access to wired network resources in response to requests by the wireless network client” ” however ‘105 teaches in col. 3, lines 41-48, note additional resources is an obvious variation of peers.

As to dependent claim 11, **“and wherein the step of accepting the connection is accompanied by a step of logging the acceptance”** is taught in ‘484 col. 5, lines 17-19;

“wherein the step of rejecting connection to the wired network is accompanied by a step of logging the rejection” is shown in ‘484 col. 6, lines 11-23.

As to dependent 12, **“wherein the step of providing a temporary wired network address to the wireless network client includes using dynamic host control protocol to provide the address”** ” is disclosed in ‘484 col. 3, lines 47-50.

As to dependent 13, **“wherein communication between the wireless network client and the wired network server is performed using point to point tunneling protocol”**

however '105 teaches PPP is used for communications between the server and the wireless client in col. 4, lines 36-54. The motivation to combine '105 and '484 is the as stated above in claim 10.

6. **Claim 6** is rejected under 35 U.S.C. 103(a) as being unpatentable over Massarani US Patent No. 6,393,484 (hereinafter '484) in view of Bhagwat et al. US Patent No. 6,651,105 (hereinafter '105) in further view of Redlich US Patent No. 6,591,306 (hereinafter '306).

As to dependent 6, the following is not explicitly taught in the combination of '105 and '484: **“wherein the server employs 128-bit crypto-processing to communicate with the wireless network client”** however '306 teaches a 128 bit encryption key can be utilized in communication in col. 25, lines 43-67.

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify a system and method for providing secure network access to wireless clients via a server taught in '484 and '105 to include a means to utilize various encryption methods. One of ordinary skill in the art would have been motivated to perform such a modification to protect guest and host equipment and allow ease of use in a mobile network see '306 (col. 1 lines 21 et seq.). “It is therefore an object of the invention to solve the problem of hosting a guest station in a manner in which the guest simply plugs the guest station into the foreign network and gains instant IP connectivity. Another object is to achieve this even when the foreign network uses a broadcast LAN such as an Ethernet. Yet another object of the invention is to achieve the foregoing without change to the previously set network configuration of the portable device, including IP address, netmask, next-hop-routers (gateways) as well as settings for the Domain Name Service (DNS). It is a further object of the invention to achieve instant IP connectivity in a

Art Unit: 2134

manner which prevents malicious attacks to the hosting network by the guest station. An additional object of the invention is to achieve the foregoing connectivity in a manner which permits the guest station, if desired, to provide for security against malicious intrusion or attacks from the foreign network. Furthermore, it is also an object of the invention to provide for IP access for a guest station without the need for a large pool of IP addresses. Finally, it is an important object of the invention to provide for IP access for a guest station without support from the guest station and without expecting support from the guest's regular network".

7. **Claim 14 and 15**, are rejected under 35 U.S.C. 103(a) as being unpatentable over Massarani US Patent No. 6,393,484 (hereinafter '484) in view of Bhagwat et al. US Patent No. 6,651,105 (hereinafter '105) in further view of Schuster et al. U.S. Patent No. 6,857,072 (hereinafter '072).

As to dependent 14, "wherein the step of performing authentication for the wireless network client includes transferring authentication information between the wireless network client and the SB server" is taught in '484 col. 6, lines 23-53;

"and wherein the authentication information is encrypted" however '105 teaches 'Point-to-point protocol (PPP) is used for communication for wireless devices via access points. This communication can be encrypted if required' in col. 4, lines 36-54 and also col. 2, lines 20-24. The motivation to combine '484 and '105 is the same as stated above in claim 10 the following is not explicitly taught in the combination of '484 and '105: **"using public key cryptography"** however '072 teaches the use of a public key to encrypt data transmitted over a network in col. 6, lines 44-64.

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify a system and method for providing secure network access to wireless clients via a server taught in '484 and '105 to include a means to utilize a public/private key encryption mechanism. One of ordinary skill in the art would have been motivated to perform such a modification so that sensitive data may be transmitted more securely see '072 (col. 3, lines 30 et seq.). "The present invention addresses the above needs by providing a system in a data network telephony system, such as for example, the Internet, that enables encryption and/or authentication on the telephony system. Users may participate in transactions with each other using more secure data channels. Sensitive data may be transmitted more safely across public networks".

As to dependent 15, "wherein the step of providing a unique session encryption key includes encrypting the unique session encryption key using public key cryptography" however '072 teaches the use of a public key to encrypt data transmitted over a network in col. 6, lines 44-64. The motivation to combine '484, '105, and '072 is the same as stated above in claim 14.

Conclusion

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ellen C Tran whose telephone number is (571) 272-3842. The examiner can normally be reached from 8:30 am to 5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jacques H. Louis-Jacques can be reached on (571) 272-6962. The fax phone number for the organization where this application or proceeding is assigned is (571) 273-8300.

Art Unit: 2134

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

ECT

Ellen Tran
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21 September 2006

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9,26,06